



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter Martyn et al. Art Unit : 2164
Serial No. : 09/404,518 Examiner : Calve, J.
Filed : September 23, 1999
Title : MATCH-OFF OF ORDER FLOW IN ELECTRONIC MARKET SYSTEM

Attention: Official Draftsman

Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF AMENDED DRAWINGS

Enclosed are 3 sheets of amended drawings for the application with changes indicated in red. Applicant requests approval of these changes.

The Examiner added an objected to the drawings as failing to comply with 37 CFR 1.84(p)(5) because they fail to include certain reference designations.

Applicants have amended the drawings and with corresponding amendments made to the specification in the previous response has overcome the objection.

Applicant has submitted drawing changes indicated below with changes noted in red. Approval of the drawing changes is requested so that applicant can submit substitute drawings upon an indication of allowable subject matter.

Applicants have amended FIGS. 1 and 2B to show elements referred to in the specification and to correct reference designations in the drawings. Applicants have amended FIG. 1 to change the reference designations of 22b to 22a and 22a to 22b, deleted OCF 25 and the arrow associated therewith at the bottom of FIG. 1 and deleted designation 12g. Applicants have moved reference designation 12 to the arrow.

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit

December 21, 2001

Signature

Marie Collins

Typed or Printed Name of Person Signing Certificate

Marie Collins

approved by
Examiner
H.K.

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With respect to FIG. 2B, Applicants have added an indicia of the "update manager process 26e" and has modified the reference designations of the locked/crossed manager and the odd lot manager to 26f and 26g, respectively.

Applicants have also corrected on FIG. 2A the designation of interface 27. Applicants note that in FIG. 1 Applicant describes a "order collector facility" 20 and a "order collector facility process" 25 which resides in memory 22b. Applicants believes these drawings are now correct.

Applicant submits that no new matter is introduced and requests approval of the amended drawings.

Respectfully submitted,

Date: _____

12/21/2001

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